

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1293.1168/ MDS

First Named Inventor or Application Identifier:

Jung-Kwon HEO

Express Mail Label No.

 12/15/00  
 00/15/21  
 225932/60  
 0003 U.S. PTO  
 0003
**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO: Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, DC 20231**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract ..... [ Total Pages: 26 ]
3. ☒ Drawing(s) (35 USC 113) ..... [ Total Sheets: 3 ]
4. ☐ Oath or Declaration ..... [ Total Pages:    ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) [ ] Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ Small Entity Statement(s) [ ] Statement filed in prior application, status still proper and desired.
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed) (Korean Appln. 00-35039, filed June 24, 2000)
16. ☐ Other:

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**[ ] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No:   /  **18. CORRESPONDENCE ADDRESS**

21171

PATENT TRADEMARK OFFICE

Staas &amp; Halsey

# NEW APPLICATION FEE TRANSMITTAL

Attorney Docket No. 1293.1168/ MDS

Application Number Not Yet Assigned

Filing Date December 15, 2000

AMOUNT ENCLOSED \$0.00

First Named Inventor Jung-kwon HEO

## FEE CALCULATION (fees effective 12/29/99)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS	66	- 20 =	46	X \$ 18.00 =	\$ 828.00
INDEPENDENT CLAIMS	12	- 3 =	9	X \$ 80.00 =	720.00
MULTIPLE DEPENDENT CLAIMS (any number, if applicable)				+ \$270.00 =	
<b>BASIC FILING FEE</b>					710.00
Total of above Calculations =					\$ 2,258.00
Surcharge for late filing fee, Statement or Power of Attorney (\$130.00)					+
Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28).					-
<b>TOTAL FILING FEE =</b>					\$ 2,258.00
Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))					+
Recordation of Assignment (\$40.00; 37 CFR 1.21(h)(1))					+
<b>TOTAL FEES DUE =</b>					\$ 2,258.00

## METHOD OF PAYMENT

- ☐ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No., below.
- ☒ No payment is enclosed and no charges to the Deposit Account are authorized at this time.

## GENERAL AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.

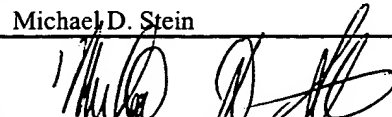
19-3935

Deposit Account Name

STAAS &amp; HALSEY LLP

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS &amp; HALSEY LLP

Typed Name	Michael D. Stein	Reg. No.	37,240
Signature		Date	December 15, 2000

# 4  
art  
7-201

Docket No. 1293.1168 MICHAEL D. STEIN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
Jung-kwon HEO )  
Serial No.: To be assigned ) Group Art Unit: Unassigned  
Filed: December 15, 2000 ) Examiner: Unassigned



For: **AUDIO RECORDING MEDIUM, METHODS OF RECORDING DATA ON AND  
REPRODUCING DATA FROM THE RECORDING MEDIUM, AND  
RECORDING AND REPRODUCING APPARATUSES THEREFOR**

**SUBMISSION OF CERTIFIED COPY OF PRIOR FOREIGN  
APPLICATION IN ACCORDANCE  
WITH THE REQUIREMENTS OF 37 C.F.R. §1.55**

*Assistant Commissioner for Patents  
Washington, D.C. 20231*

*Sir:*

In accordance with the provisions of 37 C.F.R. §1.55, the applicant submits herewith a certified copy of the following foreign application:

Korean Patent Application No. 00-35039  
Filed: June 24, 2000

It is respectfully requested that the applicant be given the benefit of the foreign filing date as evidenced by the certified papers attached hereto, in accordance with the requirements of 35 U.S.C. §119.

Respectfully submitted,

STAAS & HALSEY LLP

By: 

Michael D. Stein

Registration No. 37,240

700 11th Street, N.W., Ste. 500  
Washington, D.C. 20001  
(202) 434-1500  
Date: December 15, 2000

**THE KOREAN INDUSTRIAL  
PROPERTY OFFICE**



**This is to certify that the following application annexed hereto  
is a true copy from the records of the Korean Industrial Property  
Office.**

**Application Number : Patent Application**

**No. 00-35039**

**Date of Application : 24 June 2000**

**Applicant : Samsung Electronics Co., Ltd.**

**16 November 2000**

**COMMISSIONER**

10200000035039

2000/11/1

[Document Name] Patent Application  
[Application Type] Patent  
[Receiver ] Commissioner  
[Reference No] 0001  
[Filing Date] 2000.06.24.  
[IPC No.] G11B



[Title] Digital audio recording format and recording/reproducing method thereof

[Applicant]  
Name: Samsung Electronics Co., Ltd.  
Applicant code: 1-1998-104271-3

[Attorney]  
Name: Young-pil Lee  
Attorney's code: 9-1998-000334-6  
General Power of Attorney Registration No. 1999-009556-9

[Attorney]  
Name: Hyok-gun Cho  
Attorney's code: 9-1998-000544-0  
General Power of Attorney Registration No. 2000-002820-3

[Attorney]  
Name: Hae-young Lee  
Attorney's code: 9-1999-000227-4  
General Power of Attorney Registration No. 2000-002816-9

[Inventor]  
Name: Jung-kwon Heo  
I.D. No. 681207-1830616  
Zip Code 137-042  
Address: 201-245 Jugong 2-danji Apt., Panpo 2-dong, Seocho-gu  
Seoul  
Nationality: KR

[Priority Claimed]  
Application Country: Republic of Korea  
Type of Application: Patent  
Application No.: 10-2000-0030402  
Filing Date: 2 June 2000  
Priority Document: Attached

[Application Order] We file as above according to Art.42 of the Patent Law.  
Attorney Young-pil Lee  
Attorney Hyok-gun Cho

Attorney

Hae-young Lee

[Fee]

Basic page:	19 Sheet(s)	29,000 won
Additional page:	0 Sheet(s)	0 won
Priority claiming fee:	1 Case(s)	26,000 won
Examination fee:	0 Claim(s)	0 won
Total:		55,000 won

[Enclosures]

1. Abstract and Specification ( and Drawings)	1 copy each
---	-------------